



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SASHIRO UEMURA, ET AL.

Application No.: 09/933,984

Filed: August 20, 2001

For: VACUUM FLUORESCENT DISPLAY

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated July 11, 2003 (copy enclosed herewith) from a foreign patent office in a counterpart Chinese application, which was forwarded to Applicant's Representative in a communication dated July 11, 2003.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Melissa Stead

Date

SE 3 700 E Substitute for form 1449A/PTO INFORMATION I

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known						
Application Number 09/933,984						
Filing Date	August 20, 2001					
First Named Inventor	Sashiro Uemura					
Art Unit						
Examiner Name						
Attorney Docket Number	96790P374					

	U.S. PATENT DOCUMENTS					
Examiner Cite Document Number		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
Initials*	Cite No.1	Number - Kind Code² (if known)	or Issue Date MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-5473218	12-05-1995	Moyer		
		US-5786660	07-28-1998	Clerc		
		US-5504387	04-02-1996	Hamagishi et al.		
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
Initials*	No.¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		T⁰	
		EP 0951047A2	03-25-1999	Canon Kabushiki Kaisha Tokyo			
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Examiner	Date	
Signature	Considered	d

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.

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TRANSM	AITTAL E		Application No.	09/933,984	
RANSI	TRANSMITTAL FORM		Filing Date	August 20, 2001	
(to be used for all cor	rrespondence aft	er initial filing)	First Named Inventor	Sashiro Uemura	
			Group Art Unit		
			Examiner Name		
Total Number of Page	es in This Submissio	on 11	Attorney Docket Number	96790P374	
	ENCLO	SURES (chec	ck all that apply)		
Fee Transmittal For	m	Drawing(s)	After Allowance Communication to Group	
Fee Attached		Licensing-r	elated Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / Respo	nse	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final Affidavits/dec	laration(s)	Petition to 0 Provisional	Convert a Application	Proprietary Information	
Extension of Time Request		Power of A Change of	ttorney, Revocation Correspondence Address	Status Letter	
Express Abandonment Request		Terminal D	Disclaimer	Other Enclosure(s) (please identify below):	
Information Disclosure Statement		Request for		Prior Art References (4); return postcard	
PTO/SB/08 Certified Copy of Priority Document(s)		CD, Numb	er of CD(s)		
Response to Missing Incomplete Application					
Incomplete Applicate Basic Fili		Remarks			
Declarati	•		_		
Response to Parts under 3 1.52 or 1.53	Missing 7 CFR				
	SIGNATUR	E OF APPLICA	NT, ATTORNEY, OR AG	BENT	
Firm	Eric S. Hyman	, Reg. No. 30	,139		
or	•			MAN LLP	
Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature					
Date			1 31/3/0	ζ	
			ING/TRANSMISSION		
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Signature	Mel	n Xl	eel	Date 8-29-03	

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for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

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Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Complete if Known				
Application Number	09/933,984			
Filing Date	August 20, 2001			
First Named Inventor	Sashiro Uemura			
Examiner Name				
Group/Art Unit				
Attorney Docket No.	96790p374			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)				
Check Credit card Money Other None	3. A	DDITIO	NAL	FEES	
Check Credit card Order Cother None	Large	Entity	Sma	II Entity	y .
	Fee Code	Fee (\$)	Fee Code	Fee (\$)	 FeeDescripton FeePaid
Account Number 02-2666		130	2054	65	Surcharge - late filing fee or oath
	1051 1052	50	2051 2052	25	Surcharge - late provisional filing fee or
Account Name Blakely, Sokoloff, Taylor & Zafman LLP	2050	130	2053	130	cover sheet. Non-English specification
The Commissioner is authorized to: (check all that apply)	2053 1812	2,520	1812	2,520	For filing a request for ex parte reexamination
Charge fee(s) indicated below Credit any overpayments	1804	920 *	1804	920	* Requesting publication of SIR prior to Examiner action
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.	1805	1,840 *	1805	1,840	* Requesting publication of SIR after
Charge fee(s) indicated below, except for the filling fee	1000			·	Examiner action
to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month
FEE CALCULATION	1252	410	2252	205	Extension for reply within second month Extension for reply within third month
1. BASIC FILING FEE	1253	930 1,450	2253 2254	465 725	Extension for reply within fourth month
Large Entity Small Entity Fee Fee Fee Fee Description FeePad	1254 1255	1,970	2255	985	Extension for reply within fifth month
Code (\$) Code (\$)	1404	320	2401	160	Notice of Appeal
1001 750 2001 375 Utility filing fee	1402	320	2402	160	Filing a brief in support of an appeal
1002 330 2002 165 Design fling fee	1403	280	2403	140	Request for oral hearing
1003 520 2003 250 Plant filing fee 1004 750 2004 375 Reissue filing fee	1451	1,510	2451	1,510	Petition to institute a public use proceeding
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	
SUBTOTAL (1) (\$)	1453	1,300	2453	650	
	1501 1502	1,300 470	2501 2502	650 235	Utility issue fee (or reissue) Design issue fee
2. EXTRA CLAIM FEES Extra Fee from Claims below FeePaid	1503	630	2503	315	<u> </u>
Total Claims _ 20 = X	1460	130	2460	130	Petitions to the Commissioner
Independent Saints X =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
Multiple Dependent =	1806	180	1806	180	Submission of Information Disclosure Strnt
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)
Fee Fee Fee Fee Fee Description Code (\$)	1809	750	1809	375	Filing a submission after final rejection (37 CFR § 1.129(a))
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))
1203 280 2203 140 Multiple Dependent claim, if not paid	1801	750	2801	375	(000)
1204 84 2204 42 **Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other f	ee (specify)		of a design application
SUBTOTAL (2) (\$)	ıl				<u> </u>
**or number previously paid, if greater, For Reissues, see below	* Reduc	ed by Basic	Filing Fe	e Paid	SUBTOTAL (3)
SUBMITTED BY					Complete (if applicable)
Name (Print/Type) Eric S. Hyman		Registrati Attorney/Ag			30,139 Telephone (310) 207-3800
Signature	H_				Date 8 29 62

Based on PTO/SB/17 (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450